

Managing Legal Holds

GETTING STARTED

! Before you begin, verify:

- Your Legal Holds License is valid.
- The Legal Hold Confirmation Server is configured.
- The Employee List is up to date with all system administrators and potential custodians.
- For details on building an employee list, see "Discovering Custodian Data" in the *Legal Holds User Guide*.

Legal Hold Workflow

- 1 Create the legal hold.
The legal hold is a virtual container to organize notices, surveys, templates, and responses.
- 2 Create and send the legal hold notice.
Notices, reminders, and escalations can be sent immediately or scheduled to be sent automatically.
- 3 Track responses.
- 4 Generate and export reports.

Next Steps...

- ! Release custodians from the notice.
- ! Monitor, manage, and administer legal holds.

1. CREATE THE LEGAL HOLD

1. From **All Legal Holds**, click **Add**.
2. Type the Legal Hold Name, provide a description, and select the case.
3. (Optional) Specify a list of administrators to be BCC'd on all notices.
4. Assign the Access Group permissions for the legal hold.
5. (Optional) Update the default notice templates.
6. Click **Save**.

2. CREATE AND SEND THE LEGAL HOLD NOTICE

1. From **All Legal Holds**, select the legal hold.
2. Click **Add System Admin Notice** or **Add Custodian Notice**.
3. Select a template from the **Add from Template** dialog box, or modify/use the text provided.
4. Type the email address of the sender's email account.
5. Specify the user who should appear to be sending the email. By default, the user logged in is the user sending the notices.
6. Add custodians to the list of notice recipients.
7. (Optional) Apply formatting to the Body text using the formatting toolbar.
8. (Optional) Add questions to the custodian's confirmation page.
9. Specify when the following emails should be sent: Notices, reminders, and escalations, or recurring status reminders.
10. Click **Save**.

3. TRACK RESPONSES

To view all holds

- From **All Legal Holds**, view all holds and a high-level overview of the notices.

To monitor all notices and activity for a single hold

- Select a specific hold from the All Legal Holds screen.
- View notices, confirmations, and a list of custodians and their legal hold status.


The screenshot shows the 'All Hold Notices' section of the Veritas interface. At the top, there are navigation links for 'Survey Responses', 'Add System Admin Notice', and 'Add Custodian Notice'. Below this is a table with columns for 'Notice', 'Last Sent', 'Custodian Confirmations', and 'Actions'. Two notices are listed: 'SEC v Tamas Corp: Custodian N...' and 'SEC v Tamas Corp: System Ad...'. The first notice has 2 confirmed, 3 pending, and 1 failed confirmation, with 5 sent and 1 released. The second notice has 1 confirmed and 1 sent. Below the table is a detailed view of 'All Hold Notices' for the first notice, showing a list of custodians with columns for '1', '2', and 'Last sent'. The custodians listed are Blair Jeffris, David Moore, Don Muhlbach, George Foster, Robin Buckley, Shaun McDonald, and Alan Smith. David Moore, Don Muhlbach, and George Foster have green checkmarks in column 1. Robin Buckley and Shaun McDonald have blue checkmarks in column 2. Alan Smith has a green checkmark in column 2.

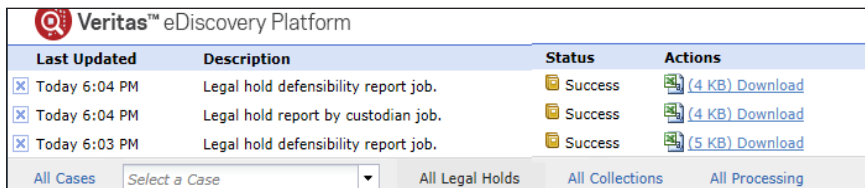
Detail view of a single legal hold.




MANAGING LEGAL HOLDS CONTINUED...

4. GENERATE AND EXPORT REPORTS

To generate Legal Hold Defensibility report

1. With your case selected, click **Legal Holds**.
2. Click the notice's report icon.
3. Click **Jobs** to view status and  download the report.
4. Click **Download** and **Open** or **Save** the report.
To save the report, you must download and save it manually. To download the report at a later date you must run a new job.



Last Updated	Description	Status	Actions
Today 6:04 PM	Legal hold defensibility report job.	Success	 (4 KB) Download
Today 6:04 PM	Legal hold report by custodian job.	Success	 (4 KB) Download
Today 6:03 PM	Legal hold defensibility report job.	Success	 (5 KB) Download


All Cases All Legal Holds All Collections All Processing

To generate Legal Hold Report by Custodian

1. From the System view, click **All Legal Holds**.
2. Click **Export/Generate Report**, then click **Legal Hold Report by Custodian**.
3. A Job Submitted window will appear. Click **OK**.
4. Click **Jobs** to view status and download the report.
5. Click **Download** and **Open** or **Save** the report.

To export legal hold data

1. From **All Legal Holds > Legal Holds**, click **Export/Generate Report**.
2. Choose to **Export as CSV** or **Export as XLS**.
 - CSV format enables you to include tabular data.
 - XLS format exports data as a Microsoft Excel spreadsheet.
3. At the prompt, click to **Open** or **Save** the file.


 **Note:** You can export the current view of the Legal Holds module by selecting the **Export this Page** link at the bottom of the Status page and the Survey Responses page.


NEXT STEPS...

RELEASE CUSTODIANS FROM THE NOTICE

When potential custodians are no longer considered relevant to a legal matter or you no longer need to preserve the data associated with them, you can release the custodians from the legal hold notice.

To release a custodian

1. Select the hold.
2. Select the notice from which the custodian is to be released.
3. Release the custodian by clicking the broken chain link icon  next to the custodian's name.

 **Note:** You can release multiple custodians at once by selecting the checkboxes next to the custodian names and clicking **Release**.

MONITOR, MANAGE, AND ADMINISTER LEGAL HOLDS

For detailed information on monitoring, managing, and administering legal holds, see the Legal Holds User Guide.

The user guide describes customizing notices, creating and using templates, archiving and restoring legal holds, and additional administrative and maintenance tasks.